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| <b>TRANSMITTAL FORM</b><br><i>(to be used for all correspondence after initial filing)</i> | <b>Application Number</b>   | 09/841,951                    |             |
|  | <b>Filing Date</b>          | April 25, 2001                |             |
|  | <b>First Named Inventor</b> | Smith III, Amos B. et al.     |             |
|  | <b>Group Art Unit</b>       | TBA                           |             |
|  | <b>Examiner Name</b>        | TBA                           |             |
| <b>Total Number of Pages in This Submission</b>  | 250                         | <b>Attorney Docket Number</b> | 4730-103 US |

| ENCLOSURES (check all that apply)  |   |  |         |  |
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| <table border="1"><tr><td>Remarks</td><td></td></tr></table>   |   |  | Remarks |  |
| Remarks  |   |  |         |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |                     |
|--|---------------------|
| Firm or Individual name                    | Brian L. Buckwalter |
| Signature                                  |                     |
| Date                                       | 08/06/01            |

| CERTIFICATE OF MAILING  |                 |      |          |
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Docket No.: 4730-103 US

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BY: Mr. B. S.

DATE: 8/6/01

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re: Application of Amos B. Smith III, *et al.* : Group Art Unit: TBA  
:   
Application. No.: 09/841,951 : Examiner: TBA.  
:   
Filed: April 25, 2001 :   
:   
For: NEW SOLUTION AND SOLID PHASE SYNTHESIS OF :   
PYRROLINONES AND POLYPYRROLINONES :

Assistant Commissioner of Patents  
Washington, D.C. 20231

**INFORMATION DISCLOSURE STATEMENT**

SIR:

In accordance with 37 C.F.R. §§ 1.56 and 1.97 through 1.98, applicants wish to make know to the Patent and Trademark Office the references set forth on the attached Form PTO-1449. As to any reference supplied, applicants do not admit that it is "prior art" under 35 U.S.C. §§ 102 or 103, and specifically reserve the right to traverse or antedate any such reference, as by showing under 37 C.F.R. § 1.131 or other method. Although the aforesaid references are made known to the Patent and Trademark Office in compliance with applicant's duty to disclose all information they are aware of which is believed to be relevant to the examination of the above-captioned application, applicants believe their invention is patentable. Kindly make the references of record in the above-captioned application.

The above-captioned application is a divisional of Ser. No. 08/910,820 and the references have been provided previously. Applicants believe that under 37 C.F.R. § 1.98(d), no new copy of the references disclosed herein is required. Should the any of the references

be missing from the parent application, kindly contact the undersigned and a copy will be provided.

In accordance with 37 C.F.R. § 1.97(b), this has been submitted prior to mailing of the first Office Sction and applicants believe no fees are due. In the event fees are due, authority is hereby given to charge any such deficiency to Deposit Account No. 13-2165 Mathews, Collins, Shepherd & Gould. The Examiner is invited to contact the undersigned if further information is required.

Respectfully submitted,  
Mathews, Collins, Shepherd & Gould, P.A.



Brian L. Buckwalter  
Registration No. 46,585  
Agent for Applicants

August 6, 2001

Enclosures:

Receipt Acknowledgement Postcard

Form PTO-1449

Copies of references A-U

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